

**CERTIFICATE OF MAILING****DATE OF DEPOSIT March 18, 2004**

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Philip A. Dalton, Reg. No. 26859  
(Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: <b>ANDREW J. TOTI</b>	Attorney Docket: <b>50604</b>
Application Serial No.: <b>10/693,263</b>	Art Unit: <b>3682</b>
Filing Date: <b>10/23/2003</b>	Examiner: <b>Unknown</b>
For: <b>CONTROL ROD MECHANISM AND SYSTEM</b>	San Francisco, California

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Sir:

Applicant claims small entity status.

It is respectfully requested that this INFORMATION DISCLOSURE STATEMENT (also "IDS") be entered by the US Patent and Trademark Office (USPTO) and that consideration by the USPTO examiner of the documents listed on the enclosed Form PTO-1449 be made of record.

This INFORMATION DISCLOSURE STATEMENT is being submitted before the mailing of a first Office action on the merits and, accordingly, it is believed this INFORMATION DISCLOSURE STATEMENT is entitled to consideration without payment of any fee. However, in the event the Commissioner determines any fees are required, including the fee(s) set forth in 37 CFR 1.17(p), the Commissioner is hereby

USSN: 10/693,263  
Art Unit: 3682  
Examiner: Unknown

IDS  
Dated: 03/18/2004  
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authorized to charge such fees to the undersigned's Deposit Account 04-0039 (ref.: 50604).

It is believed that this INFORMATION DISCLOSURE STATEMENT complies with the requirements of 37 CFR §§ 1.56, 1.97, and 1.98, and the relevant sections of the Manual of Patent Examining Procedures. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

Any comments included here are offered as an initial aid to the Examiner. Our discussion, however, is not intended to take the place of the Examiner's complete consideration of each listed document. The Examiner is requested to independently consider each document to the full extent that it may be material to the present application.

This INFORMATION DISCLOSURE STATEMENT should not be construed as a representation that a search has been made or that no other material information exists.

Some of the documents may have markings thereon. No significance is meant to be attached to the markings.

The cited documents are not necessarily analogous art.

Respectfully submitted,  
LAW OFFICES OF PHILIP A. DALTON

March 18, 2004

BY Philip Dalton  
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 1

<i><b>Complete if Known</b></i>	
Application Number	10/693,263
Filing Date	10/23/2003
First Named Inventor	Toti, Andrew J.
Art Unit	3682
Examiner Name	Unknown
Attorney Docket Number	50604

U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.